Subscription Application
Form for Shares

Non-US Investors

MontLake UCITS Platform plc
FVC Alternative Risk Premia UCITS Fund

AUGUST 2013

Please post completed form to:
MontLake UCITS Platform plc
c/o Citibank Europe Plc
1 North Wall Quay
Dublin 1
Ireland

Attention: Shareholder Services
Telephone #1 +353 1 622 5025
Telephone #2 +353 1 622 2000
Fax +353 1 672 5361

2nd Floor, Block E, Iveagh Court
Harcourt Road, Dublin 2, Ireland

22nd August 2013
Important Notes

Initial applications for Shares must be made in writing to the Administrator by submitting an original, signed copy of this Subscription Application Form. All supporting documentation in relation to money laundering prevention checks must be received with the original, signed Subscription Application Form or promptly thereafter. Subsequent applications may be made in writing or fax, provided that all ongoing anti-money laundering checks are complete and that the Administrator has received a validly executed initial Subscription Application Form. The Administrator must be in receipt of a signed original Subscription Application Form and original anti-money laundering documentation before paying any redemption proceeds to a redeeming Shareholder.

The Company will be required to withhold Irish tax on redemption monies, at the applicable rate, unless it has received an original Declaration in the prescribed form from the Shareholder, confirming that the Shareholder is not an Irish Resident and not an Irish Ordinary Resident investor in respect of whom it is necessary to deduct tax. The Directors reserve the right to reject, in whole or in part, any application for Shares.

I/We, the undersigned, having received, read and carefully considered a copy of the Prospectus for MontLake UCITS Platform plc (the "Company") current at the date of this application (as the same may be amended from time to time) (the "Prospectus"), a copy of the Supplement Prospectus for MontLake FVC Alternative Risk Premia UCITS Fund (the “Sub-Fund”) also current at the date of this application (as the same may be amended from time to time) (the “Supplement”) and the most up-to-date version of the Key Investor Information Documents (the “KIID(s)”) of the Sub-Fund and having had an opportunity to review the material contracts referred to in the Prospectus and the Supplement hereby subscribe for such number of Shares (the “Shares”) of such Portfolio as is detailed below or for such number of Shares of such Portfolio as may be subscribed for with the amount indicated below.

This Subscription Application Form does not constitute an offer or solicitation to a US Person, anyone located in the United States, anyone acting for the account or benefit of a US Person or person located in the United States or to anyone in any other jurisdictions in which such offer or solicitation is not authorised. US Persons should complete the separate US Person Subscription Application Form.

Capitalised terms used and not otherwise defined in this Subscription Application Form shall have the meanings given to them in the Prospectus and the Supplement.

1. Applicant Details

(COMPLETE IN BLOCK LETTERS PLEASE)

All Applicants should complete the following section in full and sign where indicated.

1. In the case of individuals or joint applicants, full name(s) must be given. A maximum of four persons may be joint holders of Shares.

2. In the case of corporate applicants, the full title of the corporation registered office and place of incorporation must be provided. This Subscription Application Form should be executed under seal or signed by a duly authorised signatory provided that a certified copy of the authority authorising the signatory and an authenticated list of signatories accompanies this Subscription Application Form.

3. In the case of a partnership/firm (not a limited company), this Subscription Application Form should be in the name(s) of and signed by all the partners/proprietor(s).

4. If this Subscription Application Form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this Subscription Application Form.

5. The Tax Identification Number referred to below is the individual taxation number provided to the applicant by the revenue authorities in their country of residence. For example, in the United Kingdom, the Tax Identification Number will be the National Insurance number of an individual investor and the corporation tax number or similar identifier of a corporate entity.
Primary Applicant’s Name (in full):

**Agency Code (if not known then please leave blank):**

<table>
<thead>
<tr>
<th>Registered Address</th>
<th>City:</th>
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</thead>
<tbody>
<tr>
<td>Country:</td>
<td>Telephone Number:</td>
</tr>
<tr>
<td>Postcode:</td>
<td>Fax Number:</td>
</tr>
<tr>
<td>Contact Name:</td>
<td>Nationality:</td>
</tr>
<tr>
<td>Tax Identification Number (for EU investors):</td>
<td>Email Address:</td>
</tr>
</tbody>
</table>

If an Applicant’s correspondence address differs from its registered address, please indicate below. For corporate applicants, please indicate the capacity in which application and declarations are made:

(i) Additional Applicant’s Name (in full):

<table>
<thead>
<tr>
<th>Registered Address</th>
<th>City:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country:</td>
<td>Telephone Number:</td>
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<tr>
<td>Postcode:</td>
<td>Fax Number:</td>
</tr>
<tr>
<td>Contact Name:</td>
<td>Nationality:</td>
</tr>
<tr>
<td>Tax Identification Number (for EU investors):</td>
<td>Email Address:</td>
</tr>
</tbody>
</table>

If an Applicant’s correspondence address differs from its registered address, please indicate below. For corporate applicants, please indicate the capacity in which application and declarations are made:

(ii) Additional Applicant’s Name (in full):

<table>
<thead>
<tr>
<th>Registered Address</th>
<th>City:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country:</td>
<td>Telephone Number:</td>
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<tr>
<td>Postcode:</td>
<td>Fax Number:</td>
</tr>
<tr>
<td>Contact Name:</td>
<td>Nationality:</td>
</tr>
<tr>
<td>Tax Identification Number (for EU investors):</td>
<td>Email Address:</td>
</tr>
</tbody>
</table>

If an Applicant’s correspondence address differs from its registered address, please indicate below. For corporate applicants, please indicate the capacity in which application and declarations are made:
2. Subscription monies

Subscription proceeds should be received in the base currency of the relevant Share Class by telegraphic transfer net of handling charges and fees to the bank account indicated below:

Subscription monies in respect of Shares in classes denominated in US Dollars should be lodged in US Dollars to the following account:

Bank: Citibank Europe PLC, Dublin
Account Name: MontLake FVC Alternative Risk Premia UCITS Fund
Account Number: USD-0017560034
Account Currency: USD
IBAN Code: IE89CITI99005117560034
SWIFT Code: CITIIE2X

Subscription monies in respect of Shares in classes denominated in Euros should be lodged in Euros to the following account:

Bank: Citibank Europe PLC, Dublin
Account Name: MontLake FVC Alternative Risk Premia UCITS Fund
Account Number: EUR-0017560018
Account Currency: EUR
IBAN Code: IE36CITI99005117560018
SWIFT Code: CITIIE2X

Subscription monies in respect of Shares in classes denominated in Swiss Francs should be lodged in Swiss Francs to the following account:

Bank: Citibank Europe PLC, Dublin
Account Name: MontLake FVC Alternative Risk Premia UCITS Fund
Account Number: CHF-0017560042
Account Currency: CHF
IBAN Code: IE67CITI99005117560042
SWIFT Code: CITIIE2X

Subscription monies in respect of Shares in classes denominated in Pound Sterling should be lodged in Pound Sterling to the following account:

Bank: Citibank Europe PLC, Dublin
Account Name: MontLake FVC Alternative Risk Premia UCITS Fund
Account Number: GBP-0017560026
Account Currency: GBP
IBAN Code: IE14CITI99005117560026
SWIFT Code: CITIIE2X

The address of the bank is as follows:

Citibank Europe Plc
TA Shareholder Services
1 North Wall Quay
Dublin 1
Ireland
Bank account details

Please arrange for all future redemption proceeds to be paid to the below account in the respective currency of
redemption. I/We confirm that this account is in the name of the registered account holder. Should I/we require any
change to any of my standing data, I/we will advise the Administrator in writing. I/We acknowledge that all
redemption proceeds will be paid to my/our account of record unless I/we provide the Administrator with an original
request in writing in advance of requesting any redemption. (If Applicant’s bank details differ per currency, please
provide on a separate page). No payment of redemption proceeds will be made until the Administrator has received
the original of the Subscription Application Form and the original of any supporting Anti-Money Laundering or related
documentation. Third party payments are not permitted.

<table>
<thead>
<tr>
<th>Name of Bank:</th>
<th>SWIFT Code:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Account Number/IBAN:</td>
<td>Address of Bank:</td>
</tr>
<tr>
<td>ABA Number for [US$/Euro]:</td>
<td>Account Name:</td>
</tr>
<tr>
<td>Corresponding Bank:</td>
<td>Corresponding Bank SWIFT, Sort or ABA Code:</td>
</tr>
</tbody>
</table>

3. Fund Selection

<table>
<thead>
<tr>
<th>Share Class</th>
<th>ISIN Codes</th>
<th>Class Currency</th>
<th>Subscription Amount (please complete in numbers and words )</th>
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</thead>
<tbody>
<tr>
<td>EUR Institutional A</td>
<td>IE00BD5HNW95</td>
<td>Euro</td>
<td>EUR €</td>
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<td></td>
<td></td>
<td></td>
<td>In words:</td>
</tr>
<tr>
<td>EUR Institutional B</td>
<td>IE00BD5HNO3</td>
<td>Euro</td>
<td>EUR €</td>
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<td></td>
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<td></td>
<td>In words:</td>
</tr>
<tr>
<td>EUR Institutional Pooled</td>
<td>IE00BD5HN10</td>
<td>Euro</td>
<td>EUR €</td>
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<td></td>
<td></td>
<td></td>
<td>In words:</td>
</tr>
<tr>
<td>EUR Retail</td>
<td>IE00BD5HN27</td>
<td>Euro</td>
<td>EUR €</td>
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<td>In words:</td>
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<tr>
<td>GBP Institutional A</td>
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<td>Sterling</td>
<td>GBP £</td>
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<td></td>
<td>In words:</td>
</tr>
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<td>GBP Institutional B</td>
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<td>Sterling</td>
<td>GBP £</td>
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<td>In words:</td>
</tr>
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<td>GBP Institutional Pooled</td>
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<td>Sterling</td>
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<td>GBP £</td>
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<td>In words:</td>
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<tr>
<td>Share Class</td>
<td>ISIN Codes</td>
<td>Class Currency</td>
<td>Subscription Amount (please complete in numbers and words )</td>
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<tr>
<td>CHF Institutional A</td>
<td>IE00BD5HP451</td>
<td>Swiss Franc</td>
<td>CHF SF&lt;br&gt;<strong>In words:</strong></td>
</tr>
<tr>
<td>CHF Institutional B</td>
<td>IE00BD5HP568</td>
<td>Swiss Franc</td>
<td>CHF SF&lt;br&gt;<strong>In words:</strong></td>
</tr>
<tr>
<td>CHF Institutional Pooled</td>
<td>IE00BD5HP675</td>
<td>Swiss Franc</td>
<td>CHF SF&lt;br&gt;<strong>In words:</strong></td>
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<tr>
<td>CHF Retail</td>
<td>IE00BD5HP782</td>
<td>Swiss Franc</td>
<td>CHF SF&lt;br&gt;<strong>In words:</strong></td>
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<tr>
<td>USD Institutional A</td>
<td>IE00BD5HP899</td>
<td>US Dollar</td>
<td>USD $&lt;br&gt;<strong>In words:</strong></td>
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<tr>
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<td>US Dollar</td>
<td>USD $&lt;br&gt;<strong>In words:</strong></td>
</tr>
<tr>
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<td>US Dollar</td>
<td>USD $&lt;br&gt;<strong>In words:</strong></td>
</tr>
<tr>
<td>USD Retail</td>
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<td>US Dollar</td>
<td>USD $&lt;br&gt;<strong>In words:</strong></td>
</tr>
</tbody>
</table>

4. **Representations and Warranties of Applicant(s)**

**Application for Shares and Authority to make Application**

I/We hereby apply to be entered in the Register of Shareholders in the name and address set out above as the holder/holders of the Shares issued in relation to this application. However, I/we acknowledge that the Administrator reserves the right in certain circumstances to register any Shares issued to me/us in relation to this application in a different format where this is necessary to comply with legal requirements.

I/We agree (i) to provide these representations to the Company at such times as the Company may request, and (ii) to provide on request such certifications, documents or other evidence as the Company may reasonably require to substantiate such representations. I/We agree to notify the Company immediately if I/we become aware that any of the representations is no longer accurate and complete in all respects and agree immediately either to sell or to tender to the Company for redemption a sufficient number of Shares to allow the representation to be made.

I am/We are acquiring the Shares for my/our own account, or for the account or benefit of other investors on whose behalf I am authorised to make, and hereby do make the representations, warranties and covenants contained in this Subscription Application Form, for investment purposes only and not with a view toward distributing or reselling the Shares in whole or in part.

I/We warrant that I/we have the right and authority to make the investment pursuant to this Subscription Application Form, whether the investment is my/our own or is made on behalf of another person or entity, and that I am/we are not in breach of any laws or regulations of any competent jurisdiction, and I/we hereby agree to indemnify the Company, the Directors, the Investment Manager, any sub-investment manager the Custodian, the Administrator, the Distributor and other Shareholders for any loss suffered by them as a result of this warranty/representation not being true in every respect.
I/We understand that if this application is rejected, the Administrator shall return the full amount tendered herewith less any bank charges to my/our accounts of record specified above and that unless rejected this application is irrevocable. I/We hereby agree to be bound by the provisions of the Memorandum and Articles of Association (as amended from time to time) and the other material contracts referred to in the Prospectus and the Supplement.

I/We confirm that I/am/we are 18 years of age or over.
I/We agree that any Shares purchased and settled by me/us through Euroclear, Fundsettle or Clearstream will be registered in the nominee name of that entity, and will remain under the control of that entity.

For any subsequent electronic purchases of Shares, I/We agree in advance to all representations and warranties as set out in this subscription document.

I/We have received and considered a copy of the Prospectus and the Supplement and agree to be bound by its terms.
I/We hereby confirm that this application is based solely on the Prospectus and the Supplement current at the date of this application together (where applicable) with the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report.

I/We declare that the KIID(s) has/have been provided to us in good time prior to making the application for shares and that I/we have read, reviewed and understood the nature and the risks of the investment product that is being offered to me/us.

I/We hereby acknowledge and agree that the updated KIID for each share class is available from the MontLake website at [http://www.montlakeucits.com] and that I/we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for shares.

Other than as set forth in the Prospectus, the Supplement and the KIID(s), I am/we are not relying upon any other information, representation or warranty in respect of the Company. I/We have consulted to the extent I/we have determined appropriate with my/our own independent advisers as to the financial, tax, legal and related matters concerning an investment in Shares and on that basis believe that an investment in Shares is suitable and appropriate for me/us.

To my/our full satisfaction, I/we have been furnished with such other materials I/we have requested relating to the Company, the Portfolios, the offering of Shares, or any statement made in the Prospectus and the Supplement, and I/we have been afforded the opportunity to ask questions of, and receive answers from, representatives of the Company concerning the Company, the Portfolio and the terms and conditions of the offering of Shares. I/We have also been offered an opportunity to obtain any additional information necessary to verify the accuracy of any representation or information set forth in the Prospectus and the Supplement and any other matters relevant to my/our decision to invest in the Shares.

I/We are aware that copies of the Prospectus, the Supplement, latest annual and semi-annual reports, KIID(s) and other information may be obtained from the Administrator Citibank Europe Plc, 1 North Wall Quay, Dublin 1, Ireland.
I/We give full consent to receiving this information and the Prospectus, the Supplement, latest annual and semi-annual reports and KIID(s) by means of electronic communication.

Where I/we are investing as nominee, we shall provide our client(s) with the KIID issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID to our clients and shall furnish such records to the Company, or its delegates, upon request.

I/We hereby acknowledge that the Company may, in the event that it does not receive the relevant subscription monies by the Dealing Deadline as defined in the Prospectus and the Supplement, provisionally allot Shares in a Portfolio, temporarily borrow an amount equal to the subscription monies and invest in accordance with the investment objective and policies of the relevant Portfolio. I/We hereby acknowledge that the Company will, upon receipt, use such subscription monies to repay the relevant borrowings and reserves the right to charge interest on such borrowings at normal commercial rates and I/we hereby agree to indemnify the Company, the Distributor and the Administrator and agree to keep them indemnified against any loss of any nature whatsoever arising to any of them as a result of my/our failure to transmit subscription monies in a timely fashion.

I/We acknowledge that the Company or the Administrator also reserves the right to refuse to make any redemption payment or distribution to a Shareholder where the original completed Subscription Application Form together with the relevant anti-money laundering documentation has not been received by the Administrator.
Immediately prior to the execution of this Subscription Application Form: (a) I/we personally possess sufficient knowledge and experience in financial and business matters (including experience with investments of a similar nature to investments of the Company and the Portfolio) to be capable of evaluating the merits and risks of an investment in the Shares; and (b) I/we and any account for which I/we may be acting have sufficient financial resources to be able to bear the economic risk of a complete loss of my/our investment in the Shares.

5. Anti-Money Laundering

Please read Annex 1 hereto carefully before completing this section and attach any necessary Anti-Money Laundering Documentation as may be set out therein.

I/We acknowledge that measures aimed at the prevention of money laundering and terrorist financing will require a detailed verification of my/our identity, address and source of funds and where applicable the beneficial owner on a risk sensitive basis and the ongoing monitoring of the business relationship.

ALL applicant(s) must complete the following Ultimate Beneficial Ownership Form.

Ultimate Beneficial Owners are individuals who directly, or indirectly, hold ownership or control of 25 per cent or more of the shares or voting rights in an entity, or otherwise exercise control over the management of the entity.

Notes to help with completion:

1. Please ensure the form is fully completed and, in the case of a corporate entity, please sign in accordance with the authorised signatory list.

2. Please note this form does not need to be completed by entities regulated for AML purposes in Irish prescribed Jurisdictions.

3. Individual investors only need to complete this form if monies for the investment are coming from a joint bank account and the second person has not signed the subscription document.

4. For all other investments, please complete either A or B as applicable and sign below:

   A. There are no Ultimate Beneficial Owners owning 25% or more at this time

   B. The Ultimate Beneficial Owner(s) owning 25% or more are known at this time

If you have ticked (B) please provide detail of Ultimate Beneficial Owner(s) below:

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Date of Birth</th>
<th>% Holding</th>
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</thead>
<tbody>
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Please return this form duly completed with the subscription document.
**Note:** Citibank Europe Plc (Citi) will be unable to place a subscription trade until the Ultimate Beneficial Owners have been confirmed.

<table>
<thead>
<tr>
<th>Signature One;</th>
<th>Signature Two;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signed by;</td>
<td>Signed by;</td>
</tr>
<tr>
<td>Date:</td>
<td>Date:</td>
</tr>
</tbody>
</table>

I/we also acknowledge that politically exposed persons (“PEPs”) i.e. an individual who is or has, at any time in the preceding year, been entrusted with prominent public functions, and immediate family members, or persons known to close associates of such persons, must also be identified.

I/We acknowledge that the Administrator reserves the right not to issue Shares until such time as the Administrator has received and is satisfied with all the information and documentation requested to verify my/our identity, address and source of funds.

I/We acknowledge that the Administrator shall be held harmless against any loss arising as a result of a failure to process my/our application for Shares if such information and documentation as has been requested by the Administrator has not been provided by me/us. I/We also confirm that if my/our status changes, I/We will alert the Administrator.

Please tick the box below if you are a PEP or if, in the case of an incorporated applicant, your beneficial owner(s) is/are PEPs

[ ] Yes  [ ] No

**IMPORTANT:** All PEP applicant(s) or in the case of incorporated applicant(s) where a beneficial owner(s) is/are PEPs, must complete the following Investor Source of Funds Information Form.

To comply with the requirements of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, you are required to provide information to confirm the source of funds and source of wealth in respect of the amount you are investing. This checklist must be signed and dated by either the investor(s) or the intermediary.

Please fully complete the appropriate sections and associated details below.

**Individual Investors:** Please ensure that both A and C below are fully completed

**Corporate Investors:** Please ensure that both B and C below are fully completed

<table>
<thead>
<tr>
<th>A</th>
<th>Individuals</th>
</tr>
</thead>
<tbody>
<tr>
<td>(to be completed in respect of single and joint applicants)</td>
<td></td>
</tr>
<tr>
<td>Occupation</td>
<td></td>
</tr>
<tr>
<td>Employer (omit if retired)</td>
<td></td>
</tr>
<tr>
<td>Annual Income (omit if retired)</td>
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</tr>
</tbody>
</table>
(i) Source of Funds

- [ ] Income
- [ ] Savings
- [ ] Inheritance
- [ ] Sale of Existing Assets
- [ ] Other

<table>
<thead>
<tr>
<th>Item</th>
<th>Benefactor</th>
<th>Date</th>
<th>Type of Asset Sold</th>
<th>Please Specify</th>
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<tbody>
<tr>
<td>Income</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Savings</td>
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</tr>
<tr>
<td>Inheritance</td>
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<tr>
<td>Sale of Existing Assets</td>
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<tr>
<td>Other</td>
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</tbody>
</table>

(ii) Details of Additional Assets Held

<table>
<thead>
<tr>
<th>Asset</th>
<th>Value ($/€/£)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td></td>
</tr>
<tr>
<td>Property</td>
<td></td>
</tr>
<tr>
<td>Shares/Bonds</td>
<td></td>
</tr>
<tr>
<td>Other (Details)</td>
<td></td>
</tr>
<tr>
<td>None</td>
<td></td>
</tr>
</tbody>
</table>

(iii) Investor’s net worth (total assets less liabilities) In respect of joint applicants, combined total net worth

- [ ] USD/EUR 100,000 to 500,000
- [ ] USD/EUR 500,001 to 1,000,000
- [ ] USD/EUR 1,000,001 to 1,250,000
- [ ] More than USD/EUR 1,250,000

B Corporate

Please provide one of the following

- (i) an original document or certified true copy of latest audited financial statements, or
- (ii) an original document or certified true copy of latest financial statements, or
- (iii) confirmation that (i) or (ii) are not produced plus details of the corporate’s:

  Activities and nature of business activity
  Annual net profits for previous and current financial years and net assets at end of current and previous financial years
  Anticipated level of investment in products offered by Citi in the coming 12 months

C This checklist must be signed and dated by the investor(s) or the intermediary

<table>
<thead>
<tr>
<th>Prepared by (capitals)</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Name of investor</td>
<td></td>
</tr>
<tr>
<td>or Name of intermediary</td>
<td></td>
</tr>
<tr>
<td>Signature</td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td></td>
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</tbody>
</table>

(Please complete fully as incomplete applications may be rejected)

I/We hereby acknowledge, confirm and represent that in subscribing for Shares I am/we are not involved in: (i) concealing or disguising any property which is, or which directly or indirectly represents, the proceeds of a criminal activity; or (ii) converting or transferring any property which is, or which directly or indirectly represents, the proceeds of criminal activity for the purpose of avoiding prosecution for an offence. I/We hereby acknowledge,
Confirm and represent that I/we do not know or suspect and have no reason to suspect that any of the subscription monies forwarded by us for Shares is, or directly or indirectly represents, another person’s proceeds of a criminal activity and the Company, the Directors, the Investment Manager, any sub-investment manager, the Administrator, the Distributor and the Custodian shall be held harmless and indemnified against any penalty or loss arising as a result of the foregoing representations and undertaking being shown to be untrue or incorrect in any way.

I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions the Distributor, the Administrator and the Company (as the case may be) may require further verification of my/our identity before the application can be processed and the Distributor, the Administrator and the Company shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information as has been required by the parties referred to has not been provided by me/us.

I/We acknowledge that if, following my/our investment in the Shares, the Company, the Directors, the Investment Manager, any sub-investment manager, the Administrator, the Distributor or the Custodian reasonably believes that I/we have breached my/our representations and covenants hereunder as to my/our identity and the source of my/our subscription proceeds, the Company may be obliged to freeze my/our dealings with my/our Shares, including by refusing additional subscriptions for Shares by me/us or any redemption requests by me/us and/or segregate the assets represented by my/our Shares in accordance with applicable regulations, or mandatorily redeem my/our Shares, and I/we will have no claim whatsoever against the Company, the Directors, the Investment Manager, any sub-investment manager, the Administrator, the Distributor or the Custodian for any form of losses or other damages incurred by me/us as a result of any of these actions. I/We also acknowledge that the Company or the Administrator may be required to report such actions and to disclose my/our identity to regulatory bodies.

Non-US Person and ERISA Considerations

I/We confirm that I am/we are not a US Person (as defined in the Prospectus), am/are not located in the United States and am/are not acquiring Shares for the account or benefit of a US Person or a person located in the United States nor do I/we intend selling or transferring any Shares which I/we may purchase to any person who is a US Person or located in the United States.

If we are a corporation, trust, partnership, limited liability company or other organisation or entity (i) we have not been formed, organised, reorganised, capitalised, recapitalised or otherwise availed of for the purpose of acquiring or holding Shares and our investment in the Company will be less than 40% of our total assets and committed capital; (ii) our stockholders, partners, members or other beneficial owners do not have and will not have individual discretion as to their participation in particular investments made by us; and (iii) we are not an investment company registered or required to register under the 1940 Act.

I/We are not (i) an employee benefit plan as defined in Section 3(3) of the U.S. Employee Retirement Income Security Act of 1974, as amended (“ERISA”) that is subject to Title 1 of ERISA, (ii) a plan subject to Section 4975 of the United States Internal Revenue Code of 1986, as amended (the “Code”), (iii) a governmental plan or church plan which is subject to any federal, state or local law that is substantially similar to the provisions of section 406 of ERISA or Section 4975 of the Code or (iv) an entity whose assets are treated as assets of any such plan (a “Benefit Plan”).

Processing of Personal Data

I/We acknowledge that the information contained in this Subscription Application Form together with any other information that is furnished in connection with my/our investment in the Company shall be held and processed by the Company. The Company will use this information for the purposes of processing this Subscription Application Form and managing and administering any of the services provided in relation to my/our investment in the Company (including any statutory reporting obligations). I/We acknowledge that the Administrator may process this information on behalf of the Company. This information may also be disclosed to the Administrator, the Investment Manager, the Custodian and any distributor for the purposes of them providing services to the Company in relation to the investment pursuant to their contracts with the Company.

I/We acknowledge that in order to enable the Administrator to fulfil any obligations that it may have pursuant to the European Directive 2003/48/EC of 3 June 2003 on taxation of savings income in the form of interest payments, the Administrator may require investors who are individuals or “residual entities” (as defined in the directive) established in a member state of the European Union to provide, as appropriate, evidence of their identity, address, tax residence and taxpayer identification number in their home jurisdiction or such other information as may reasonably be
required to enable the Administrator to comply with its obligations in this regard. I am/We are aware that failure to provide this information may result in the refusal to accept a subscription or the freezing of redemption proceeds.

I/We acknowledge that, by signing this Subscription Application Form, I am/we are consenting to the use of any information relating to me/us (including the transfer of any such information for processing outside the European Economic Area (“EEA”) to countries that either do not have data protection laws or have data protection laws that do not provide the same level of protections as EU Data Protection law) in the manner outlined above. To the extent that the information contained in this Subscription Application Form or any other information that is furnished in connection with my/our investment in the Company relates to another individual, I/we warrant that I/we have been authorised by that individual to consent on that individual’s behalf to the use of such information as relates to that individual (including the transfer of any such information outside the EEA) in the manner outlined above.

I/We acknowledge that by completing the Subscription Application Form I am/we are providing to the Company personal information, which may constitute personal data within the meaning of the Data Protection Acts 1988 to 2003 (as amended or re-enacted from time to time) (the “Data Protection Legislation”) and that such data will be used for the purposes of administration, transfer agency, statistical analysis, research and disclosure to the Company, its delegates and agents.

I/We understand the provisions of the EU Directive issued on 29 April 2004, Directive 2004/72/EC, (the “Market Abuse Directive”) and I/we confirm that I am/we are not a person or persons discharging managerial responsibilities within the Trust and that I am/we are not a person or persons closely associated with a person discharging managerial responsibilities within the Company. ¹

By signing this Subscription Application Form, I/we acknowledge and consent to the Company and the Administrator, their delegates and their duly authorised agents and any of their respective related, associated or affiliated companies obtaining, holding, using, disclosing and processing the data for any one or more of the following purposes:

(a) to manage and administer my/our holding in the Company and any related accounts on an on-going basis;

(b) for any other specific purposes where the I/we have given specific consent;


‘Person discharging managerial responsibilities within an issuer’ shall mean a person who is

(a) a member of the administrative, management or supervisory bodies of the issuer:

(b) a senior executive, who is not a member of the bodies as referred to in point (a), having regular access to inside information relating, directly or indirectly, to the issuer, and the power to make managerial decisions affecting the future developments and business prospects of this issuer.

‘Person closely associated with a person discharging managerial responsibilities within an issuer of financial instruments’ shall mean:

(a) the spouse of the person discharging managerial responsibilities, or any partner of that person considered by national law as equivalent to the spouse;

(b) according to national law, dependent children of the person discharging managerial responsibilities;

(c) other relatives of the person discharging managerial responsibilities, who have shared the same household as that person for at least one year on the date of the transaction concerned;

(d) any legal person, trust or partnership, whose managerial responsibilities are discharged by a person referred to in point 1 of this Article or in letters (a), (b) and (c) of this point, or which is directly or indirectly controlled by such a person, or that is set up for the benefit of such a person, or whose economic interests are substantially equivalent to those of such person.
MONTLAKE UCITS PLATFORM PLC

(c) to carry out statistical analysis and market research;

(d) to comply with legal and regulatory obligations applicable to me/us and the Company;

(e) for disclosure or transfer whether in Ireland or countries outside Ireland including without limitation the United States of America, which may not have the same data protection laws as Ireland, to third parties including financial advisers, regulatory bodies, auditors, technology providers or to the Company and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above; and

(f) for other legitimate business interests of the Company, as may be determined by the Directors from time to time.

I am/We are aware that, pursuant to Data Protection Legislation, investors have a right of access to and to request a copy of their personal data kept by the Company or the Administrator and the right to amend and rectify any inaccuracies in their personal data held by the Company or the Administrator by making a request to the Company or the Administrator in writing. I/We acknowledge and agree that the Company or the Administrator may charge a fee for providing me/us with a copy of my/our personal data.

I/We acknowledge that the Company is a Data Controller within the meaning of Data Protection Legislation and undertakes to hold any personal information provided by investors in confidence and in accordance with Data Protection Legislation.

By signing this Subscription Application Form, I/we consent to the recording of telephone calls made to and received from investors by the Company, its delegates, its duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and/or training purposes.

Indemnification

I/We hereby indemnify and hold harmless each of the Company, the Directors, the Investment Manager, any sub-investment manager, the Administrator, the Distributor and the Shareholders (each an “Indemnified Party”) from any claims, demands, proceedings, liabilities, damages, losses, costs and expenses directly or indirectly suffered or incurred by such Indemnified Party arising in circumstances (i) where I/we become aware that I am/we are holding Shares in contravention of any of the above provisions and fail to transfer, or deliver for redemption, my/our Shares pursuant to the above provisions and fail to make the appropriate notification to the Company; and (ii) in consideration of the Company processing the Subscription Application Form and making allotment of Shares, for my failure to pay the required subscription monies for this application of Shares within the time required by the Company and set out in the Prospectus.

I/we hereby agree to indemnify each of the Company, the Directors and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile or other instructions. The Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

Declaration of Residence

I/We agree to complete the appropriate declaration of residence (the “Declaration”), annexed hereto as Annexes 2 and 3 and acknowledge that if the Declaration is not completed or is incomplete, the Company will be entitled to deduct appropriate tax from any payments or transfers of Shares to me/us or redeem and cancel such number of Shares as are required to meet the appropriate tax and will pay the appropriate tax in respect of such Shares to the Irish tax authorities, as described in the Prospectus.

Further Subscriptions

I/We hereby agree that the terms and conditions contained in this Subscription Application Form shall apply to all future subscriptions made by me/us and all the representations and warranties which I/we made in this Subscription Application Form shall apply to all future subscriptions made by me/us, unless I/we notify you to the contrary at the time of any such subscription.
Authorised Signatures [HOLDER(S)]

1. (signature)
2. (signature)
3. (signature)
4. (signature)

In the case of joint Shareholders, all must sign.

In the case of corporate Shareholders, this Subscription Application Form should be executed under seal or signed by a duly authorised signatory.

In the case of a partnership/firm (not a limited company), this Subscription Application Form should be in the name(s) of and signed by all the partners/proprietor(s).

If this Subscription Application Form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this Subscription Application Form.
Annex 1: Anti Money Laundering

Irish Money Laundering Verification Requirements in accordance with the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010

GUIDE TO COMPLETING THIS FORM.

- **Part I** - This section must be completed by all applicants and transferees.
- **Part II** - This section must be completed by banks, providers of financial services and nominee companies acting on their own account and if authorised and regulated in an approved country.†
- **Part III** - This section must be completed by individual investors investing directly into the Company, i.e. not through an Intermediary.
- **Part IV** - This section must be completed by intermediaries, agents and nominees acting on behalf of third parties.
- **Part V** - This section must be completed by partnerships and trusts investing directly into the Company.
- **Part VI** - This section must be completed by companies investing directly into the Company.
- **Part VII** - This section must be completed by institutional investors investing directly into the Company.
- **Part VIII** - This section is a list of detailed documentation requirements per investor type

†Approved countries are Member States of the European Union (Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom), Argentina, Australia, Brazil, Canada, Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, New Zealand, Mexico, Norway, Principality of Liechtenstein, Russia, Singapore, South Africa, Switzerland, Turkey or the United States of America.

### PART I

Full name(s) and full current permanent address/registered office of applicants(s)/transferee(s).

Mr/Mrs/Miss/Ms/Company Name: ________________________________

Address/Registered Office: ________________________________

Date of Birth (if applicable): ________________________________

Country of Birth/Registration: ________________________________

### PART II

Please tick the following box, if appropriate.

I am/We are a bank/provider of financial services or a nominee company/nominee account which is itself or is a part of/used by a bank/provider of financial services authorised and regulated for anti-money laundering purposes in an approved country.†

☐ Yes ☐ No

If answer is YES, please supply the name of regulated entity and also the name of your regulator:
If you are acting on behalf of a third party you must also complete part IV below

**PART III**

If you, as applicant(s) or transferee(s) is/are an INDIVIDUAL(S) please supply the following documents; in the case of joint holders, please supply the relevant documentation in respect of ALL holders.

1. Certified* copy of a photo identification card or passport AND
2. Two forms of address verification

By way of example an individual may be required to produce a copy of a passport or national identification card which must display a photograph, signature and date of birth of the bearer and be duly certified by a notary public, together with evidence of his/her address such as a two original or certified* utility bills or bank statements from a reputable financial institution. Additional information may be required at the Transfer Agent’s discretion to verify the source of the subscription monies.

The Transfer Agent reserves the right to request such information as is necessary to verify the identity of an applicant or the source of the subscription monies. In the event of delay or failure by the applicant to produce any information required for verification purposes, the Transfer Agent may refuse to accept the application and subscription monies.

* All documents may be certified by any of the following; a police officer, chartered and certified public accountant, notary public, solicitor, embassy and consular staff and your Bank or IPA if authorised and regulated in an approved country†

**Part IV**

As an intermediary/agent/nominee authorised and regulated for anti-money laundering purposes in an approved country† acting on behalf of a third party, please sign below.

**TO BE COMPLETED BY INTERMEDIARY/AGENT/NOMINEE**

I/we (name and address of agent/intermediary) ___________________________________________________________

________________________________________________________________________________________

confirm that we are regulated in ____________________________________________ (insert jurisdiction)
by ____________________________ (name of regulator).

I/We undertake to verify the identity of all third parties on whose behalf I/we purchase shares in the Company. I/We further undertake to retain for five years from the date the subscriber redeems from the Company copies of any documentation obtained by us in so verifying and if/when requested to do so by the Company, provide copies of said documentation.

Signed: --------------------------------------------------

(Please include an original or certified copy of authorised signatory list)

By signing this application form the signatory confirms that he/she is duly authorised to sign this declaration on behalf of the intermediary/agent/nominee.
PART V

If you are an applicant/transferee that is a PARTNERSHIP or TRUST, please supply the following:

1. List of names, date of birth, occupation and permanent addresses of all partners/trustees/beneficiaries
2. Certified copies of the above partners'/trustee's/beneficiaries' identification as per part III above for an individual and part VI below for a company.
3. Evidence of the authority of the partner(s)/trustee(s) to make investments in the Company on behalf of the partnership/trust and an appropriate certified authorised signatory list.
4. Certified* copy of partnership agreement/trust deed.

* All documents may be certified by any of the following; a police officer, chartered and certified public accountant, notary public, solicitor, embassy and consular staff and your Bank or IPA if authorised and regulated in an approved country

PART VI

If you are an applicant/transferee that is a COMPANY, please supply:

1. Certified* copy of the Certificate of Incorporation or Certificate to Trade
2. Memorandum and Articles of Association or equivalent document
3. Certified** authorised signatory list and properly authorised mandate of the directors to make the investment (e.g., copy of board minutes)
4. List of all directors' names, occupations, residential and business addresses and dates of birth
5. Properly authorised mandate of the directors to open/operate an account or establish the business relationship.

* Certified by the relevant companies registration office, solicitor or bank.
** To be certified by your bank/lawyer or solicitor/notary public.

AND

If the company is not quoted on a stock exchange or a subsidiary of a company quoted on a stock exchange in an approved country†, please also supply:

1. Identification as per individual investor (see part III above) for at least 2 directors and all persons authorised to operate the account
2. List of names, addresses, dates of birth and occupations of shareholders holding 10% or more of the share capital.

Additional information may be required at the Transfer Agent’s discretion to verify the source of the subscription monies.

The Transfer Agent reserves the right to request such information as is necessary to verify the identity of an applicant or the source of the subscription monies. In the event of delay or failure by the applicant to produce any information required for verification purposes, the Transfer Agent may refuse to accept the application and subscription monies.
If you are an applicant/transferee that is an INSTITUTIONAL Investor e.g. pension fund, local authority, or charity, please supply the following:

1. Certified* authorised signatory list and properly authorised mandate of persons completing the form to act on behalf of the applicant

2. Constitution of the applicant or other suitable document to establish the legitimate purpose of the organisation applicant. Please contact the administrator for further details if required

3. Identification as per individual investor (see part III above) for at least 2 directors and all persons authorised to operate the account

* To be certified by your bank/lawyer or solicitor/notary public.

IF YOU ARE UNABLE TO COMPLETE ANY PART OF THIS FORM PLEASE CONTACT THE ADMINISTRATOR ON +353 1622 5025 or +353 1 622 2000

The following are detailed documentation requirements per investor type:

<table>
<thead>
<tr>
<th>Investor</th>
<th>Investing as Principal</th>
<th>Documents</th>
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<tbody>
<tr>
<td>Individual</td>
<td>Investing as principal</td>
<td>• Original subscription application form</td>
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<td>• An original or certified true copy of a form of proof of photographic identification that includes the investor’s name and date of birth</td>
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<td>• Two x an original or certified true copy of a form of proof of residential address</td>
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<tr>
<td>Company – Not Listed or Listed on Non-equivalent Stock Exchange</td>
<td>Investing as principal</td>
<td>• Original subscription application form</td>
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<td></td>
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<td>• A certified true copy of the Certificate of Incorporation</td>
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<td>• A certified true copy of the Memorandum and Articles of Association</td>
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<td>• A certified true copy or original mandate with authorised signatories</td>
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<td>• A certified true copy of the board resolution authorising the investment (if applicable)</td>
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<td>• A register of the Directors or a copy of audited annual accounts listing the directors</td>
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<td>• A certified true copy of a form of photographic identification for two Directors or one Director and one Authorised Signatory</td>
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<td>• 2 x certified true copy or original form of proof of residential address for two Directors or one Director and one Authorised Signatory</td>
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<td>• Details of beneficial owners who own 25% or more of the Share capital and verification of their identity</td>
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<td>Investor</td>
<td>Investing as Principal</td>
<td>Documents</td>
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<tr>
<td>Designated Person</td>
<td>Investing as Principal</td>
<td>• Original subscription application form</td>
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<td>• Proof of regulation</td>
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<td></td>
<td>• Copy of Mandate with authorised signatories</td>
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<tr>
<td>Designated Person</td>
<td>Investing as intermediary</td>
<td>• Original subscription application form</td>
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<td>• Proof of regulation</td>
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<td>• Mandate with authorised signatories</td>
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<td>• Original Comfort Letter</td>
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<tr>
<td>Credit and Financial Institutions in Non-prescribed Jurisdictions</td>
<td>Investing as principal and Intermediary</td>
<td>• Original subscription application form</td>
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<td>• Proof of regulation</td>
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<td>• Mandate with authorised signatories</td>
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<td>• Original Comfort Letter from a designated Person</td>
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<td>• Authorised Signatory List for Designated Person providing the letter</td>
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<tr>
<td>Private Trust with No Designated Person Trustee</td>
<td>Investing as principal</td>
<td>• Full name of the trust</td>
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<td>• Nature and purpose of the trust</td>
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<td>• Jurisdiction in which the trust was established</td>
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<td>• Mandate with Authorised Signatories</td>
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<td>• The names of all of the trustees</td>
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<td>• Names of any and all controllers, protectors and/or settlors</td>
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<td>• The names of any beneficiaries that may be entitled to 25% or more the trust property</td>
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<td>• Certified extract of the relevant part of the trust deed that sets out all of the parties to the trust</td>
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<td>• Evidence that the persons representing the trust are entitled to do so</td>
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<td>• Original subscription application form</td>
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<td>• A certified form of proof of identification for the Settlor</td>
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<td>• 2 x certified forms of Proof of Address for the Settlor</td>
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<td>• A certified form of proof of identification for two Trustees or one Trustee and one Authorised Signatory</td>
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<td></td>
<td>• 2 x certified forms of Proof of Address for two Trustees or one Trustee and one Authorised Signatory</td>
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<td>• A certified form of proof of identification for the All Beneficiaries where entitled to 25% or more of the trust property</td>
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<td>• 2 x certified forms of Proof of Address for All Beneficiaries where entitled to 25% or more of the trust property</td>
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<td>• Domicile of the business/activity (if applicable)</td>
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<td>• Nature of business/activity</td>
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<td>• Location of business activity (operating address)</td>
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<tr>
<td>Investor</td>
<td>Investing as Principal</td>
<td>Documents</td>
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</tbody>
</table>
| Fund – Trade Company | Investing as Principal | • Original subscription application form  
• Certified of the listing document/prospectus describing the purpose of the fund and identifying the fund manager or general partner  
• Mandate with authorised signatories |
| Fund (Regulated and Listed in Non-prescribed Jurisdiction with or with No Regulated Connected Party) | Investing as principal and intermediary | • Original subscription application form  
• Copy of the listing document/prospectus describing the purpose of the fund and identifying the fund manager or general partner  
• A certified true copy of the Certificate of Incorporation  
• A certified true copy of the Memorandum and Articles of Association  
• A certified true copy or original mandate with authorised signatories  
• A certified true copy of the board resolution authorising the investment (if applicable)  
• A register of the Directors or a copy of audited annual accounts listing the directors  
• A certified true copy of a form of photographic identification for two Directors or one Director and one Authorised Signatory  
• 2 x certified true copy or original form of proof of residential address for two Directors or one Director and one Authorised Signatory  
• Details of beneficial owners who own 25% or more of the Share capital and verification of their identity |
| Pension Scheme (Ireland) | Investing as principal | • A certified copy of the letter of registration issued by the Pensions Board and the accompanying Scheme Trace Report or a certified copy of the original approval from the Revenue Commissioners  
• A certified true copy of mandate with authorised signatories |
| Pension Scheme (Confirmation of Registration Available.) | Investing as principal | • Confirmation of registration from the relevant tax authority or pensions board  
• Certified True Copy of Constitution Document detailing Full name of pensions scheme and  
• Registered office address of the pension scheme  
• List of controllers: (Trustees/Directors/Board Members or equivalent)  
• Mandate with Authorised Signatories  
• A certified true copy of a form of photographic identification for two Controllers or one Controllers and one Authorised Signatory  
• 2 x certified true copy or original form of proof of residential address for two Controllers or one Controllers and one Authorised Signatory |
| Institutional – Foundations | Investing as principal | • Original subscription application form  
• Certified True Copy of Constitutive Document  
• Full name of the institution  
• Nature and Purpose of the institution  
• Registered office address of the institution  
• Names of any and all founders, controllers, protectors and/or settlors |
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|          |                        | • 2 x certified true copy or original form of proof of residential address for two Controllers or one Controllers and one Authorised Signatory |
| Institutional – Charities/Clubs and Societies | Investing as Principal | • Original subscription application form  
|          |                        | • Certified True Copy of Constitutive Document  
|          |                        | • Full name of the institution  
|          |                        | • Nature and Purpose of the institution  
|          |                        | • Registered office address of the institution  
|          |                        | • List of controllers: (Principals/Trustees/Directors/Board Members or equivalent)  
|          |                        | • Mandate with Authorised Signatories  
|          |                        | • A certified true copy of a form of photographic identification for two Controllers or one Controllers and one Authorised Signatory  
|          |                        | • 2 x certified true copy or original form of proof of residential address for two Controllers or one Controllers and one Authorised Signatory |
| Institutional – Local and/or Public Authorities/Supranational Bodies | Investing as Principal | • Original subscription application form  
|          |                        | • Full name of the institution  
|          |                        | • Nature and Purpose of the institution  
|          |                        | • Proof of Registered office address of the institution  
|          |                        | • Mandate with Authorised Signatories  
|          |                        | • Copy of the latest audited report and accounts (or confirmation that they are not produced) |
| Partnership | Investing as principal | • Original subscription application form  
|          |                        | • A certified copy of the partnership agreement  
|          |                        | • A list of authorised signatories  
|          |                        | • A list of all partners (where not a Partnership Fund)  
|          |                        | • Certified True copies of identification of the General Partner to the appropriate standard (e.g. Individual, Company, etc.)  
|          |                        | • A certified true copy of a form of photographic identification for 1 other Partner or one Authorised Signatory  
|          |                        | • 2 x certified true copy or original form of proof of residential address for 1 other Partner or one Authorised Signatory  
|          |                        | • If a Partnership Fund, details of General Partner and partners who own 25% or more of the partnership capital, profit or voting rights or otherwise exercise control over the management of the entity and listing of directors  
|          |                        | • Verify the identity of all partners who own 25% or more of the partnership capital, profit or voting rights or otherwise exercise control over the management of the entity |
Annex 2: Declaration of Residence outside Ireland
(Non-resident composite declaration)

Please ensure that the “I am/we are”- text below is deleted as appropriate in each instance and that the declaration is signed and dated.

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in this declaration are defined in the Prospectus.

**Declaration on own behalf**

I/We* declare that I am/we are applying for the shares/units on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the shares/units in respect of which this declaration is made and that

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

* Delete as appropriate

**Declaration as Intermediary**

I/We* declare that I am/we are* applying for shares/units on behalf of persons:

- who will be beneficially entitled to the shares/units; and,
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/We* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds shares/units, becomes resident in Ireland.

* Delete as appropriate

<table>
<thead>
<tr>
<th>Name and Address of Applicant:</th>
<th>Signature of Applicant or Authorised Signatory (declarant):</th>
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</table>

<table>
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<tr>
<th>Capacity of Authorised Signatory (if applicable):</th>
<th>Date:</th>
</tr>
</thead>
</table>
Important Notes

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

2. To be valid, the Subscription Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.

3. If the Subscription Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

4. Residence – Company

A company which has its central management and control in Ireland (the State) is resident in the State irrespective of where it is incorporated. A company which does not have its central management and control in Ireland but which is incorporated in the State is resident in the State except where:

- the company or a related company carries on a trade in the State, and either the company is ultimately controlled by persons resident in EU Member States or countries with which Ireland has a double taxation treaty, or the company or a related company are quoted companies on a recognised Stock Exchange in the EU or in a tax treaty country;

Or

- the company is regarded as not resident in the State under a double taxation treaty between Ireland and another country.

It should be noted that the determination of a company’s residence for tax purposes can be complex in certain cases and declarants are referred to the specific legislative provisions which are contained in section 23A Taxes Consolidation Act 1997.

5. Residence – Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he: spends 183 days or more in the State in that tax year; or has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year.

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two year test. Presence in the State for a day means the personal presence of an individual at any time during the day.

6. Ordinary Residence – Individual

The term “ordinary residence”, as distinct from “residence”, relates to a person’s normal pattern of life and denotes residence in a place with some degree of continuity. An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year. An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who is resident and ordinarily resident in the State in the tax year ended 31 December 2009 and departs from the State in that year will remain ordinarily resident up to the end of the tax year 2012.
Annex 3: Irish Resident Applicants

Declaration referred to in Section 739D(6) Taxes Consolidation Act 1997

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units.

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the shares/units on behalf of the applicant named below who is entitled to the units in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act 1997, being a person who is: (please tick ✓ as appropriate)
  - a pension scheme;
  - a company carrying on life business within the meaning of section 706 TCA 1997;
  - an investment undertaking;
  - a special investment scheme;
  - a unit trust to which section 731(5)(a) TCA 1997 applies;
  - a charity being a person referred to in section 739D(6)(f)(i) TCA 1997;
  - a qualifying management company;
  - a specified company;
  - entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA 1997* or by virtue of section 848E TCA 1997*, (see further requirements for Qualifying Fund Manager/Qualifying Savings Manager below);
  - a PRSA Administrator;
  - a credit union within the meaning of section 2 of the Credit Union Act 1997; or

Additional requirements where the declaration is completed on behalf of a Charity

- I also declare that at the time of making this declaration, the Shares in respect of which this declaration is made are held for charitable purposes only and:
  - form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
  - are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

- I undertake that, in the event that the person referred to in paragraph (7)(d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a qualifying fund manager/qualifying savings manager/PRSA Administrator

- I/We* also declare that at the time this declaration is made, the Shares in respect of which this declaration is made:
  - are assets of an *approved retirement fund/an approved minimum retirement fund a special savings incentive account or a PRSA, and
  - are managed by the Declarant for the individual named below who is beneficially entitled to the Shares.

- I/We* undertake that, if the Shares cease to be assets of the *approved retirement fund/the approved minimum retirement fund, a PRSA, or held in a special savings incentive account, including a case where the Shares are transferred to another such fund or account, I/we* will, by

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2 This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
Additional requirements where the declaration is completed by an Intermediary

- I/We* declare that I am/we are* applying for shares/units on behalf of persons who:
  - to the best of my/our* knowledge and belief, have beneficial entitlement to each of the Shares in respect of which this declaration is made; and
  - is a person referred to in section 739D(6) TCA 1997.

- I/We* also declare that:
  - unless I/we* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA 1997; and

- I/we* will inform you in writing if I/we* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997.

* Delete as appropriate

Name of Applicant:  
Irish tax reference number of applicant:  

Authorised Signatory (declarant):  
Title (Mr./Ms. etc):  

Capacity in which declaration is made:  
Date:  

Important Notes

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the tax reference number of the beneficial owner of the shares/units.

3. In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or by a PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

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3 Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager/qualifying savings manager, quote the tax reference number of the beneficial owner of the shares/units.

4 In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or by a PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.